

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	2860624
<b>Application Number:</b>	10627646
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	3617
<b>Title of Invention:</b>	Method and apparatus for enabling individual or smaller investors or others to create and manage a portfolio of securities or other assets or liabilities on a cost effective basis
<b>First Named Inventor/Applicant Name:</b>	Steven M.H. Wallman
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<b>Filer Authorized By:</b>	B. Delano Jordan
<b>Attorney Docket Number:</b>	10392-460042
<b>Receipt Date:</b>	14-FEB-2008
<b>Filing Date:</b>	28-JUL-2003
<b>Time Stamp:</b>	16:05:15
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$230

RAM confirmation Number	1570				
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)					
<b>File Listing:</b>					
Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		Response.pdf	107865 <small>0ac4fa23c33c18f5956e175091321b16dcb736a3f5</small>	yes	11
	<b>Multipart Description/PDF files in .zip description</b>				
	<b>Document Description</b>	<b>Start</b>	<b>End</b>		
	Amendment - After Non-Final Rejection	1	1		
	Specification	2	2		
	Claims	3	5		
	Applicant Arguments/Remarks Made in an Amendment	6	9		
	Abstract	10	11		
<b>Warnings:</b>					
<b>Information:</b>					
2	Fee Worksheet (PTO-06)	fee-info.pdf	8258 <small>704e6b1123f942eaf1641e02b29f5a07db3d84415</small>	no	2
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>			116123		

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.